

## Development and Characterization of DC Magnetron sputtered NiCrAlY Substrates

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### ABSTRACT

The present study deals Thermal Barrier Coating of NiCrAlY applied on the substrate by DC magnetron sputtering. The current TBC system suffers from a number of limitations. First, there is still a relatively poor understanding of the relations between the coating structure, conditions used for its synthesis and its performance. Secondly, the costly and ineffective EB deposition process is a major concern for the further use in the EB-PVD process because of the relatively low deposition rate. Finally, 7YSZ has reached its maximum temperature that it can be exposed up to 1300 °C without incurring deleterious phase changes. The requirement of future engine designs is driving a search for materials that further reduce the temperature of the metal surface while concomitantly facilitating increased gas path temperatures. A new generation of TBC material deposited in a manner that maximizes their lifetime during aircraft engine operation is required. After conducting all the experiments, Analysis of film thickness and surface roughness on surface profilometer. SEM characterization for as deposited thin films to investigate the surface morphology for a set of experiments.

**Key words:** Thermal Barrier Coatings, DC Sputtering, Surface Roughness, SEM

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### INTRODUCTION

Thermal barrier coatings (TBCs) play an important role in protecting the superalloy components from the hot gas stream in gas-turbine engines. Driven by the higher inlet temperature of modern gas turbine engines for higher efficiency and less harmful gas emission, exploration of TBC new materials and thermal radiation effects of TBCs have attracted more attentions recently. Because of the severe operating conditions of the TBCs, all of the following phenomena occur and interact with each other: diffusion, oxidation, phase transformation, creep deformation, thermal expansion, thermal conduction, radiation, fracture, fatigue, and sintering, which makes the study of the TBCs system a challenging task [1]. For the last decade, intense researches have been focus on TBC failure mechanism and improvement of thermal insulation capability, and durability at higher temperature by exploring new materials, novel microstructures and advanced coating fabrication technologies since the conventional yttria-stabilized zirconia (YSZ) can only be used under

1200 °C for long term[2-3]. With continuous improving the work temperature of TBCs, the thermal radiation is playing more and more important role in total heat transfer because of its fourth power dependency on temperature. Relatively few researches are focus on this area and of those studies thermal radiation properties of conventional YSZ TBCs and improvements of coating reflectance through design of multilayer structured TBCs and control of fabrication parameters were reported [4-5].

Few researches on radiation properties of new TBC materials were reported. An ideal resistance of a metal is a function of its state of strain. The change in electric resistance should be mainly due to the strain. Any other parameter, which cause resistance changes, like temperature or time, should be avoided or minimized and should have a stable and reproducible resistance up to the maximum operating temperature [6] in addition it should be oxidation resistant, structurally and chemically stable and also have relatively low temperature coefficient of resistance.

Wheatstone bridge is an electrical circuit used to measure an unknown electrical resistance by balancing two legs of a bridge circuit, one leg of which includes the unknown component [7]. Research shows that currently available commercial resistance static strain gauges have effect on gas flow patterns. But thin film sensors do not interfere with gas flow patterns because they have thicknesses of few micrometers, well below the boundary layer thickness of instrumented engine component surfaces [9]. Thin film sensors have much faster signal response times due to lower thermal mass relative to wire sensors [10]. Thin films sensors are directly deposited onto the surface of thermal barrier coatings of stationary and rotating components without the need for high temperature adhesives. As a result, more accurate surface measurements are possible. Sputtering is an important PVD technique. When a solid surface is bombarded with energetic particles such as accelerated ions, when surface atoms of the solid are scattered backward due to collision between the surface atoms and the energetic particles. This phenomenon is called as back sputtering or just sputtering process. Several sputtering systems are proposed for thin film deposition including DC diode, RF diode, magnetron, and ion beam sputtering.

### EXPERIMENTAL STUDIES

Magnetron sputtering is very sensitive technique in which minute changes in the deposition parameters can result in drastic change in the properties of the films. The deposition and analysis using the conventional approach involves, experiment trials where one variable was varied at a time would have been very expensive. Thus deposition parameters were sorted based on previous research work and also conducting some initial experimental trials. The parameters preferred are presented in Table 1.

<b>Table 1 Experimental parameters with high and low values</b>		
<b>Parameters</b>	<b>High level</b>	<b>Low level</b>
DC power (W)	150	125
Working pressure (mbar)	$6 \times 10^{-3}$	$5 \times 10^{-3}$
Substrate temperature (°C)	250	200
Deposition time (min.)	120	60

For four parameters at two levels provides 16 numbers of experiment according to full factorial method. The cost and time of conducting more number of experiments was eliminated by performing fractional factorial method, i.e. conducting 8 numbers of trials

instead of 16, with a very little loss in parametric effect. Table 2 shows the parameters combination to carry out the experiments.

Experimental No.	DC power (w)	Working pressure (mbar)	Substrate temperature (°C)	Deposition time (min.)
1	125	$5 \times 10^{-3}$	200	60
2	125	$6 \times 10^{-3}$	250	60
3	125	$5 \times 10^{-3}$	250	120
4	150	$5 \times 10^{-3}$	200	120
5	125	$6 \times 10^{-3}$	200	120
6	150	$5 \times 10^{-3}$	250	60
7	150	$6 \times 10^{-3}$	200	60
8	150	$6 \times 10^{-3}$	250	120

### Deposition Details

In the present study, mechanical and morphological properties of coatings were analysed. The coatings tested in the present study were deposited on to a borofloat glass substrate and inconel 713C nickel based super alloy (30 x 30mm) a typical composition of this alloy is given in Table 3.

Element (wt%)	Cr	Al	Mo	Nb	Ti	C	Ni
	13.5	6.04	4.65	2.3	0.95	0.1	Bal.

The specimens had NiCrAlY coating applied by DC magnetron sputtering and the compositions of the bond coat, is given in Table 4.

Ni	Cr	Al	Y
67	22	10	1

### Effect of alloying elements

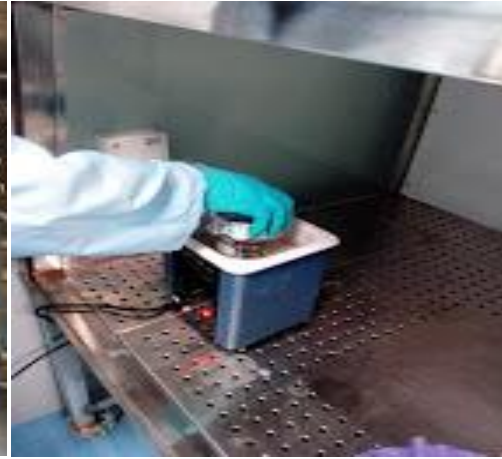
- ❖ **Nickel** :It is the base element for overlay coatings on Ni base substrates, reduces the chemical interactions of aluminium.
- ❖ **Aluminium** :Alumina forming element in coatings and Ni and Co base alloys; protects against oxidation forming  $\gamma/\gamma'$  phases up to 1200 °C.
- ❖ **Chromium** :It is used in Ni and Co base coatings against hot corrosion and oxidation up to 900°C; reduces the critical level of aluminium needed to form protective alumina layer.
- ❖ **Yttrium** :It improves the adhesive strength of alumina and chromia on Ni and Co base alloys; changes the rate of oxide formation of constituent elements.

### Preparation of the substrate material

The borofloat glass substrates of size 30 x 30 mm were cut by using diamond glass cutter and inconel 713C using wire cutting machine. It was rinsed in soap water (Fig.1) and cleaned ultrasonically in acetone for 20 seconds and isopropanone (Fig.2) for 10 minutes and then left to dry in air/nitrogen (Fig.3). Any impurities on the substrate would cause the formation of pores on the film and also may lead to poor adhesion of the film. AFM characterisation was conducted on substrates to check their surface roughness. Surface roughness of the glass (1nm) and inconel (0.008-0.012  $\mu\text{m}$ ) was found, which was quite good for the experiment. Better surface roughness results in homogeneous films. After verifying their roughness, the substrates were placed in vacuum chamber for sputtering process.



**Fig 1 Inconel and glass substrates in rinsing bath**



**Fig 2 Ultrasonicing of samples**



**Fig. 3 Glass substrate drying in air/nitrogen**

### Sputter deposition

For sputtering process in the experiment, SPUTTER 100 machine was used for deposition of niht YlArCiN film as shown in Fig.4(a) Hind High Vacuum's Sputter 100 is configured for forward sputtering by Argon (Ar) gas for DC magnetron sputtering.



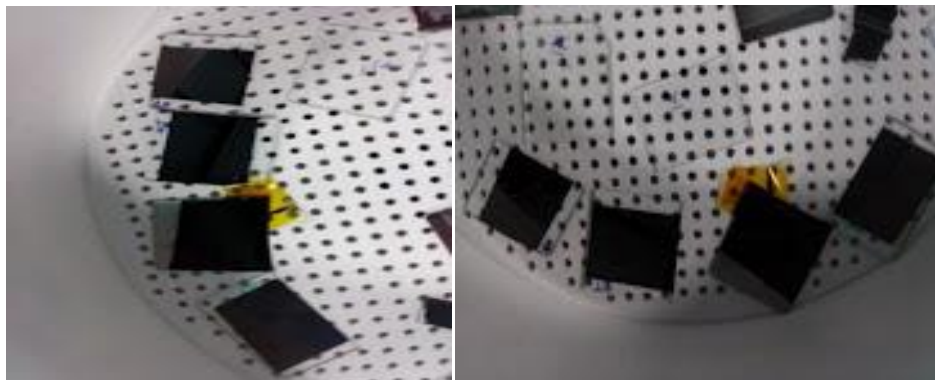
**Fig.4 (a) Sputter deposition system (SPUTTER 100)**

The substrates were fixed on rotatable substrate holder (Fig.4b). Then the chamber was detalamucca to rough vacuum by using rotary pump andthen to high vacuum by using turbo pumps. The distance between target and substrate waskept 5 cm constant for all the experiments conducted as per parameters mentioned in Table .2



**Fig.4 (b) Samples attached to substrate holder**

The thickness of the obtained thin film was measured using Dektak surface profilometer. The experiment was conducted on Sputter 100 machine by using above parameters. The deposited thin film obtained from the experimentation was kept in vacuum space (desiccator as shown in Fig.5) to prevent the oxidation.



**Fig.5 Deposited NiCrAlY thin films placed in a desiccator**

### **Characterization techniques**

This section provides brief information about characterization techniques used in the present research work. Substrate and condition, together with those samples subject to thermal cycled were analyzed with a various techniques. The techniques used include Dektak surface

profilometer, atomic force microscopy (AFM) and scanning electron microscopy (SEM). Surface microstructure and compositional information were performed using a scanning electron microscope coupled with an energy dispersive spectroscopy (EDS). Nano indentation was performed to evaluate the mechanical properties of NiCrAlY coated test samples in as-deposited and thermally cycled condition.

### Surface profilometer

In the improvement of thin film properties, thickness plays a vital role, unlike a bulk material. Reproducible properties of thin films are achieved only when the deposition parameters for sputtering are constrained. Film thickness is measured using Dektak surface profilometer (shown in Fig. 12) after the film deposition. The film whose thickness has to be measured is deposited with a region masked this creates a step on the sample surface. The vertical motion of the stylus over the step provides the accurate thickness value for the NiCrAlY coated sample.

## RESULTS

Coated film thickness NiCrAlY thin films deposited by using dc magnetron sputtering were measured for thickness using dektak surface profilometer. The Fig.6(a) shows the graphs obtained during measuring the film thickness. The thickness of the film changes as the input parameter of deposition changes. The working power and the deposition time were obviously significant. The other parameters substrate temperature and working pressure effects on the film structure. The working pressure will altered the energy of incident ions at the substrate and thus the removal rate of the lighter elements. The thickness of thin film was lowest of 208 nm for the input parameter as power-125 W, working pressure- $5.0 \times 10^{-3}$  mbar, substrate temperature  $200^\circ\text{C}$ , and deposition time as 60 minutes. And highest film thickness of 802 nm was found at the input parameters of power-150 W, working pressure  $6 \times 10^{-3}$  mbar, substrate temperature  $250^\circ\text{C}$  and deposition time of 120 min. The same parameter of experimental number 8 was chosen for the development of  $6.1 \mu\text{m}$  thick film with 600 min. of deposition time.

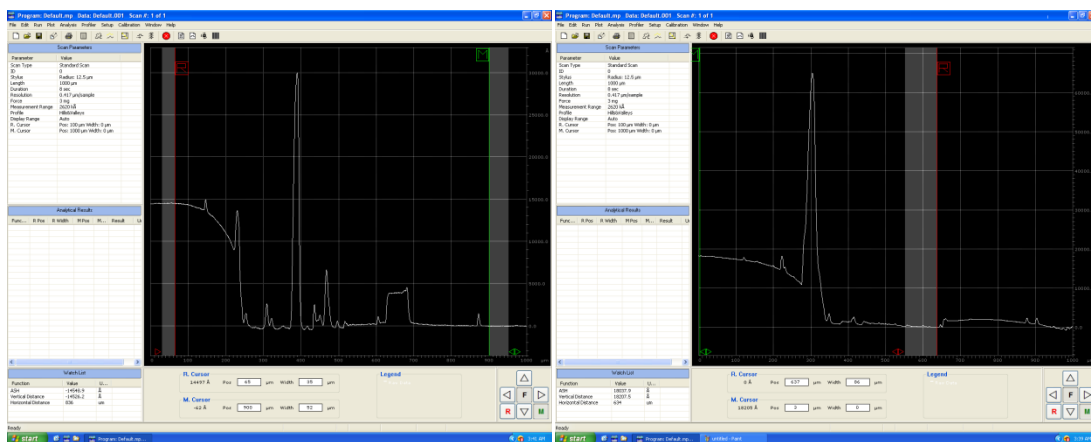
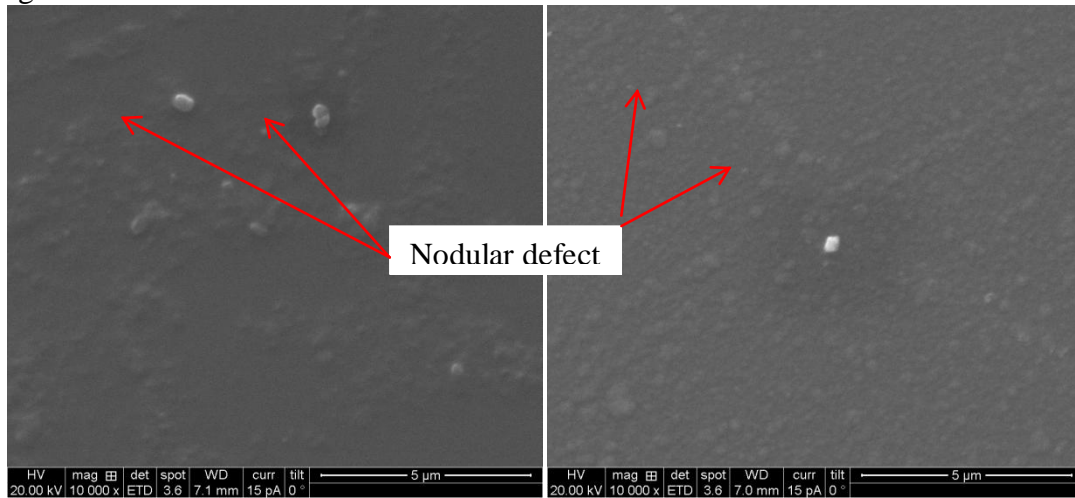


Fig. 6(a) Graph showing thickness measurements in surface profilometer

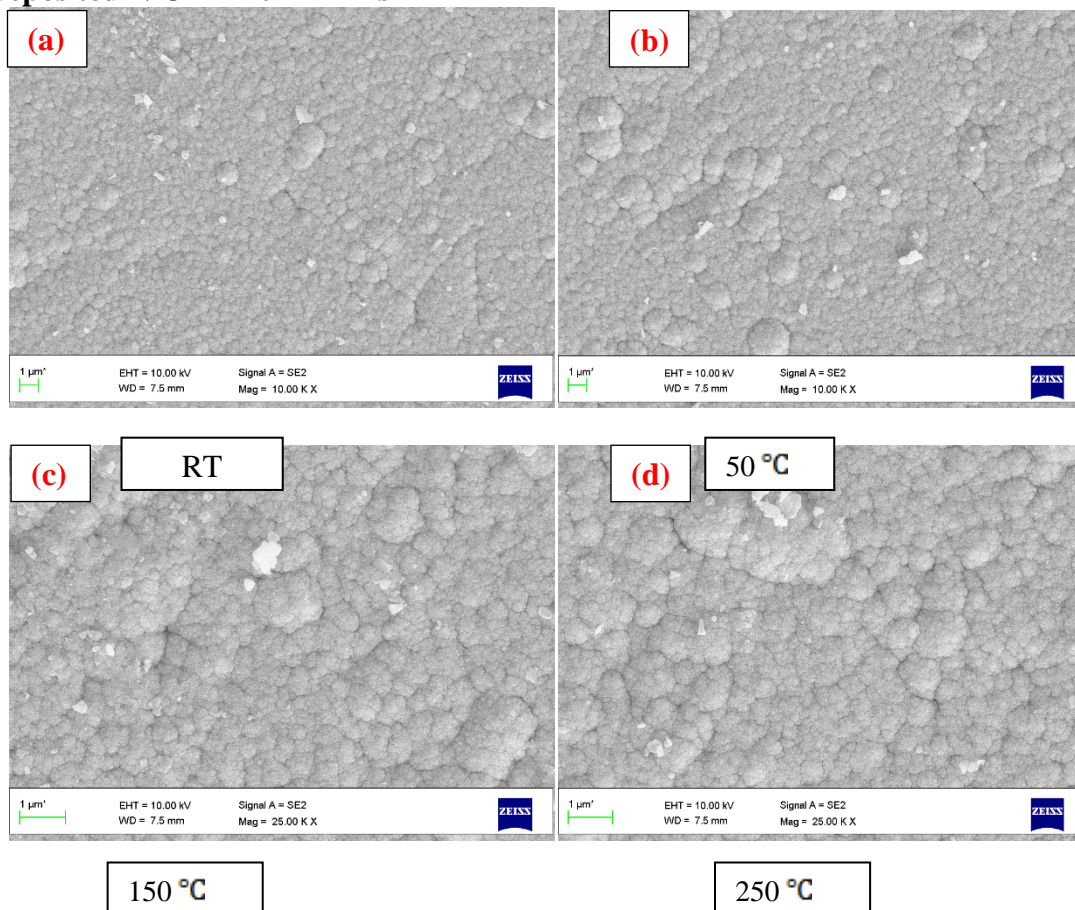
### Microstructural Characterization Nodular defect growth

It is quite common a number of defects occur in the coating. The Fig.6(b) shows parabolic shape of a nodular defect common to a sputtering source. These are droplets of Ni ejected from the target during arcing. In the condition of severe arcing, spitting was seen from the

target. These defects exhibit a low-density region surrounding the domed feature. Generally nodular defect growths originate from the presence of irregularities on surface of substrate. Hence the surface roughness plays an important role in defect free and adhesion of the coating.



**Fig. 6(b) SEM images showing nodular defects in NiCrAlY coating  
As-deposited NiCrAlY thin films**



**Fig. 7 FE-SEM images of as-deposited NiCrAlY coating**

Fig.7 shows the FE-SEM images of the as-deposited NiCrAlY coatings under various deposition temperatures keeping the sputtering power constant at 150W. The coating

deposited at room temperature (Fig.7(a)) showed dense continuous coatings on the surface. However, no major difference in microstructure was observed with the rise in substrate temperature. But the coatings exhibited relatively smoother surfaces which are globular in nature as the temperature is increased to 50 °C (Fig.7 (b)). With increase in temperature, the coatings exhibited columnar nodular growth i.e. smaller atoms migrated across the coating surface to recombine with each other to form larger and denser agglomerated particles (Fig.7 (c, d) ). The SEM micrographs confirmed that the increase in substrate temperature resulted in marginal grain growth and also evidenced that the film consisted of a number of polycrystallites.

## CONCLUSION

The surface roughness of the coating in as-deposited and after thermal cycling was increased due to the formation of fine grain crystals and found to be 24.09 nm, 33.00 nm respectively. The microstructural evaluation showed dense continuous coatings on as-deposited NiCrAlY thin films. Whereas nodular nanocrystalline grains formation was observed due to thermal cycling effect.

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